

ADDITIVE STRUCTURE OF DIFFERENCE SETS AND A THEOREM OF FØLNER

NORBERT HEGYVÁRI AND IMRE Z. RUZSA

ABSTRACT. A theorem of Følner asserts that for any set $A \subset \mathbb{Z}$ of positive upper density there is a Bohr neighbourhood B of 0 such that $B \setminus (A - A)$ has zero density. We use this result to deduce some consequences about the structure of difference sets of sets of integers having a positive upper density.

2000 Mathematics Subject Classification:11B75,05D10.

Keywords: Difference set, Bohr topology, Følner's theorem

1. INTRODUCTION

This paper is about the structure of the difference set $D(A) := A - A$ of sets of integers having positive density. By density we mean the upper asymptotic density defined by

$$\bar{d}(A) := \limsup_{n \rightarrow \infty} \frac{|A \cap [-n, n]|}{2n + 1} > 0.$$

For sets $X, Y \subseteq \mathbb{Z}$ we mean

$$X + Y = \{x + y : x \in X; y \in Y\}.$$

and

$$X \cdot Y = \{x \cdot y : x \in X; y \in Y\}.$$

We define a *Bohr set* as a set of the form

$$(1.1) \quad B(S, \varepsilon) = \{m \in \mathbb{Z} : \max_{s \in S} \|sm\| < \varepsilon\},$$

where S is a finite set of real numbers. Here $\|x\| = \min_{n \in \mathbb{Z}} |x - n|$, the absolute fractional part.

Recall that for every Bohr set has positive density, and for every pair of sets S, S' and for every $k, 0 < k \cdot \varepsilon' \leq \varepsilon$, we have

$$(1.2) \quad k \cdot B(S, \varepsilon') \subseteq B(S, \varepsilon),$$

and

$$(1.3) \quad B(S \cup S', \varepsilon) = B(S, \varepsilon) \cap B(S', \varepsilon)$$

(see e.g. [8] p. 165).

These sets are just the basic neighbourhoods of 0 in the Bohr topology. We say this is a k, ε -neighbourhood if $|S| = k$ (or a k -neighbourhood if ε is unimportant).

Bogolyubov [3] proved for the case of integers, and Følner [4], [5] generalized for general commutative groups, that the second difference set $A - A + A - A$ of a set having positive upper Banach density is always a Bohr neighborhood of 0.

In Bogolyubov's theorem four copies of A are used. Three suffice with a small change. If r, s, t are nonzero integers satisfying $r + s + t = 0$ and A is a set of integers having positive Banach density, then $S = rA + sA + tA$ is a Bohr neighbourhood of 0, see [2]. Here $rA = \{rb : b \in A\}$. $r + s + t = 0$ is necessary to exclude trivial counterexamples; so there is no really "symmetric" result here. (A further comment on this is given in Section 3). The case $r = s = 1, t = -2$ immediately generalizes Bogolyubov's theorem.

On the other hand, a theorem of Kříž ([7]) implies that there is a set A with positive upper density whose difference set contains no Bohr set.

In the positive direction in [4] Følner proved that there is a Bohr set which is almost a subset of $A - A$; the exceptional set has zero density.

In this paper we give some applications of the Følner theorem. We are going to investigate $A + A + A$ and $A + A - A$ and Bohr sets. In [1] V. Bergelson investigated the additive structure of $D(A)$. He also proved that there exists an infinite set B of integers for which $A - A \supseteq B + B + \dots + B = k \cdot B$, provided A has positive upper density. His proof of this theorem is based on an ergodic theorem. In [6] the first author gave a purely combinatorial proof for this result. Here we give a third proof for it using Følner's theorem.

2. APPLICATIONS OF FØLNER'S THEOREM

We have already mentioned in the introduction that $D(D(A))$ always contains a Bohr set, while the set $D(A)$ does not necessarily contain a Bohr set. Now we investigate the three-fold sum-differences of A .

Theorem 2.1. *There is a symmetric set A of integers such that $0 \in A$, $\bar{d}(A) > 0$ and the set $A + A + A$ does not contain a Bohr set.*

On the other hand we prove that $A + A - A$ is always a Bohr neighborhood of many $a \in A$.

Theorem 2.2. *Assume that $\bar{d}(A) > 0$. There exists a subset A' of A , such that $d(A \setminus A') = 0$ and for every $a' \in A'$, the set $A + A - A - a'$ is a Bohr neighbourhood of 0.*

This generalizes Bogolyubov's theorem in a different direction.

Note that in the same way one can prove Theorem 2.2 in the following form: For every A and X , $\bar{d}(A) > 0, \bar{d}(X) > 0$ there exists a subset

X' of X , such that $d(X \setminus X') = 0$ and for every $x' \in X'$, the set $X + A - A - x'$ is a Bohr neighbourhood of 0.

Proof of Theorem 2.1. By the theorem of Kříž we know the existence of a set X of positive integers for which $\bar{d}(X) > 0$, and the set $X - X$ does not contain a Bohr set. Let

$$Y = \{4x + 1 : x \in X\},$$

and

$$A = Y \cup -Y \cup \{0\}.$$

Since $\bar{d}(Y) = \frac{1}{4}\bar{d}(X) > 0$, we have $\bar{d}(A) > 0$ and the set A is symmetric and contains 0.

Now we prove that $A + A + A$ does not contain a Bohr set. Assume to the contrary there is a $B(S, \varrho) \subseteq A + A + A$. Since $4B(S, \varrho/4) \subseteq B(S, \varrho) \subseteq A + A + A$ also holds we get there is a Bohr set $B(S', \varepsilon)$ in $A + A + A \cap \{4k : k \in \mathbb{Z}\}$. $4k \in A + A + A$ holds if and only if $4k \in Y - Y$. But

$$Y - Y = \{4x - 4x' : x, x' \in X\} = 4(X - X),$$

hence we conclude that

$$4(X - X) \supseteq B(S', \varepsilon),$$

or equivalently

$$X - X \supseteq B(4S', \varepsilon),$$

which contradicts the fact that $X - X$ does not contain a Bohr set. \square

Proof of Theorem 2.2. Let $B = B(S, \varepsilon)$ be a Bohr set for which

$$d(B(S, \varepsilon) \setminus (A - A)) = 0;$$

the existence of which is Følner's theorem. Since this is an open set in the Bohr topology, there is a finite set T for which

$$B(S, \varepsilon) + T = \mathbb{Z}.$$

For $t \in T$ write $A_t = A \cap (B + t)$. Some of these sets have positive upper density; A' will be the union of these. Clearly $A \setminus A'$ is contained in the union of the finitely many A_t of density 0, so it has density 0 itself.

Put $B' = B(S, \varepsilon/3)$. We now show $A + A - A \supset A' + B'$. This is equivalent to $A + A - A \supset A_t + B'$ whenever $\bar{d}(A_t) > 0$.

Take arbitrary $a \in A_t$, $b \in B'$. Consider the set $a + b - A_t$. This has positive upper density and

$$a + b - A_t \subset A_t - A_t + B' \subset (B' + t) - (B' + t) + B' = B' + B' - B' \subset B.$$

Hence it is contained, up to a subset of density 0, in $A - A$, so we can find $a' \in A_t$ such that $a + b - a' \in A - A$, consequently $a + b \in a' + A - A \subset A + A - A$ as wanted.

□

In the rest of this section we give a third proof of Bergelson's theorem.

In fact we can conclude that $A - A$ contains both an additive and a multiplicative structure.

Let $f : \mathbb{N}_+ \mapsto \mathbb{N}_+$ be any function and $C \subseteq \mathbb{N}; C \neq \emptyset$. We will use the following notations:

$$FS(C)_f := \left\{ \sum_{c_i \in X} w(i)c_i : X \subseteq C, |X| < \infty; 1 \leq w(i) \leq f(i) \right\}.$$

Clearly $FS(C) \supseteq k \cdot C$ for every positive k . When X is the empty set then let the sum be zero.

Furthermore write

$$FP(C) := \left\{ \prod_{c_i \in X} c_i : X \subseteq C; |X| < \infty \right\}.$$

Clearly we have

$$(2.1) \quad FS_f(\{c_1, c_2, \dots, c_n\}) = FS_f(\{c_1, c_2, \dots, c_{n-1}\}) + \{0, c_n, \dots, f(n)c_n\}$$

and

$$(2.2) \quad FP(\{c_1, c_2, \dots, c_n\}) = FP(\{c_1, c_2, \dots, c_{n-1}\}) \cdot \{1, c_n\}$$

for every $\{c_1, c_2, \dots, c_n\} \subseteq \mathbb{N}; n \geq 2$, or equivalently

$$FP(\{c_1, c_2, \dots, c_n\}) = FP(\{c_1, c_2, \dots, c_{n-1}\}) \cup c_n \cdot FP(\{c_1, c_2, \dots, c_{n-1}\}).$$

Theorem 2.3. *Let A be a set of integers, $\bar{d}(A) > 0$. Let $f : \mathbb{N}_+ \mapsto \mathbb{N}_+$ be any function. There exists an infinite set C of integers, such that*

$$A - A \supseteq FS(C)_f \cup FP(C).$$

Proof of Theorem 2.3. We start our proof by quoting Følner's theorem again. We have that there is a Bohr set for which the exceptional set has zero density, i.e. for some $B = B(S, \varepsilon)$, $E := B(S, \varepsilon) \setminus (A - A)$, $d(E) = 0$.

We will prove the existence of the infinite set C inductively.

Let $K_1 := f(1)$. Since any Bohr set has positive density and the exceptional set has zero density, furthermore by (1.2) one can find an element c_1 from $B(S, \varepsilon/K_1)$ such that $ic_1 \notin E$, for $i = 1, 2, \dots, K_1$. So we have

$$FS(\{c_1\})_f \cup FP(\{c_1\}) = \{0, c_1, \dots, K_1 c_1\} \subseteq B \setminus E \subseteq A - A.$$

Assume now that the elements $c_1 < c_2 < \dots < c_n$ have been defined with the property

$$\mathcal{F}_n := FS(\{c_1, c_2, \dots, c_n\})_f \cup FP(\{c_1, c_2, \dots, c_n\}) \subseteq B \setminus E \subseteq A - A.$$

Write $FP(\{c_1, c_2, \dots, c_n\}) = \{p_1 < p_2 < \dots < p_m\}$, and let $K := \max\{f(n+1), p_m\}$. Define

$$\varepsilon_1 = \frac{1}{2K} \min\{\varepsilon - \|xs\| : x \in \mathcal{F}; s \in S\},$$

and let $B_1 := B(S, \varepsilon_1)$. Note that $B(S, \varepsilon_1) \subseteq B(S, \varepsilon)$. Observe that for every $i \leq K$; $i \in \mathbb{N}$ and every $s \in FS_f(\{c_1, c_2, \dots, c_n\})$, and $c \in B_1$, we have $\|s + ic\| < \varepsilon$, hence

$$FS_f(\{c_1, c_2, \dots, c_n\}) + \{0, c, 2c, \dots, K \cdot c\} \subseteq B,$$

holds for $i = 1, 2, \dots, K$.

Furthermore since E has zero density, it is easy to see that there exists a $B'_1 \subseteq B_1$, $d(B'_1) = d(B_1)$ and for every $c \in B'_1$,

$$FS_f(\{c_1, c_2, \dots, c_n\}) + \{0, c, 2c, \dots, K \cdot c\} \subseteq B \setminus E \subseteq A - A$$

also holds.

Observe, since $K \geq p_m$ and $0 \in FS_f(\{c_1, c_2, \dots, c_n\})$ we also have

$$c \cdot \{p_1 < p_2 < \dots < p_m\} = c \cdot FP(\{c_1, c_2, \dots, c_n\}) \subseteq$$

$$\subseteq \{0, c, 2c, \dots, K \cdot c\} \subseteq B \setminus E \subseteq A - A.$$

Pick an arbitrary element $c_{n+1} := c \in B'_1$. For this element we obtain that

$$FS_f(\{c_1, c_2, \dots, c_n, c_{n+1}\}) \subseteq$$

$$\subseteq FS_f(\{c_1, c_2, \dots, c_n\}) + \{0, c, 2c, \dots, K \cdot c\} \subseteq B \setminus E \subseteq A - A,$$

and

$$FP(\{c_1, c_2, \dots, c_n\}) \cup c_{n+1} \cdot FP(\{c_1, c_2, \dots, c_n\}) \subseteq B \setminus E \subseteq A - A$$

simultaneously holds. Thus we have that

$$\mathcal{F}_{n+1} \subseteq B \setminus E \subseteq A - A,$$

as we want.

So our desired set is

$$C := \{c_1 < c_2 < \dots < c_n < \dots\}.$$

□

3. FURTHER PROBLEMS AND RESULTS

We mention some open problems and some results without proof.

Bogolyubov's proof is effective: given the density of A one can specify k, η so that $A + A - A - A$ contains a Bohr k, η -set. Følner's proof is not effective, and the reason is that an effective version does not hold:

For every $\alpha < 1/2$, $k \in \mathbb{N}$ and $\eta > 0$ there is an $A \subset \mathbb{Z}$, $\bar{d}(A) > \alpha$ such that $\bar{d}(V \setminus (A - A)) > 0$ for every k, η -neighbourhood V .

Our Theorem 2.2 about $A + A - A$ applied Følner's, so the proof is not effective. We cannot decide whether an effective version holds. We can solve positively a related finite question. The result is as follows:

Let $\alpha > \varepsilon > 0$ be given. There are k, η depending on α and ε with the following property. For every $A \subset \mathbb{Z}_m$, $|A| \geq \alpha m$ the set $S = A + A - A - a$ contains a Bohr k, η -set for all but εm elements $a \in A$.

Here \mathbb{Z}_m is the group of residues modulo m and Bohr sets are defined as in (1.1) with the modification that for s only rational numbers of the form k/m can be used.

Assume $\bar{d}(A) > 0$. Is $A - A$ a Bohr neighbourhood of *something*? We know it may not be a neighbourhood of 0, and 0 is the most natural difference. For the analogous finite question we can give a negative answer, which is as follows:

Let $\alpha < 1/2$, k, η be given. For all large m there is an $A \subset \mathbb{Z}_m$, $|A| \geq \alpha m$ such that $A - A - x$ does not contain a Bohr k, η -set for any $x \in \mathbb{Z}_m$.

Proofs of these results will be published elsewhere.

Is $A - A$ a Bohr neighbourhood of 0 under the stronger assumption that A has positive lower Banach density (A is syndetic, has bounded gaps)?

Here we cannot solve the related finite problem either, and do not have any heuristic reasoning in any direction.

Acknowledgement: This note is supported by "Balaton Program Project" and OTKA grants K 61908, K 67676.

REFERENCES

- [1] V. Bergelson, Sets of recurrence of \mathbb{Z}^m -actions and properties of sets of differences, J. London Math. Soc. (2) 31 (1985), 295-304
- [2] V. Bergelson, I.Z. Ruzsa, Sumsets in difference sets, Israel J. Math., 174 (2009), pp. 1-18.
- [3] N.N. Bogolyubov, Some algebraical properties of almost periods, (in Russian), Zapiski katedry matematichnoi fiziji (Kiev) 4 (1939), 185-194
- [4] E. Følner, Generalization of a theorem of Bogoliuboff to topological Abelian groups. With an appendix on Banach mean values in non-Abelian groups, Math. Scandinavica 2 (1954), 5-18

- [5] E. Følner, Note on a generalization of a theorem of Bogoliuboff, *Math. Scandinavica* 2 (1954), 224-226
- [6] N. Hegyvári, Note on difference sets in \mathbb{Z}^n , *Periodica Math. Hung.* 44 (2), 2002, 183-185
- [7] I. Kříž, Large independent sets in shift-invariant graphs: solution of Bergelson's problem, *Graphs and Combinatorics* 3 (1987), 145-158
- [8] T. Tao, V.H. Vu, *Additive combinatorics*, p.526, Cambridge University Press, 2006

NORBERT HEGYVÁRI, ELTE TTK, EÖTVÖS UNIVERSITY, INSTITUTE OF MATHEMATICS, H-1117 PÁZMÁNY ST. 1/C, BUDAPEST, HUNGARY

E-mail address: `hegyvari@elte.hu`

IMRE Z. RUZSA, ALFRÉD RÉNYI INSTITUTE, HUNGARIAN ACADEMY OF SCIENCES, PF.127, H-1365 HUNGARY

E-mail address: `ruzsa@renyi.hu`