

EXPLICIT CONSTRUCTIONS OF EXTRACTORS AND EXPANDERS

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1. Introduction

The well-known Cauchy-Davenport theorem states that for any pair of sets A, B in \mathbb{Z}_p such that $A + B \neq \mathbb{Z}_p$, we have $|A + B| \geq |A| + |B| - 1$ and this estimation is sharp; for arithmetic progressions A, B with common difference yield $|A + B| = |A| + |B| - 1$. Now a natural question arises; what can we say on the image of a two variables (or more generally multivariable) polynomial. One can ask which polynomial f blows up its domain, i.e. if for any $A, B \subseteq \mathbb{Z}_p$, $|A| \asymp |B|$ then $f(A, B) := \{f(a, b) : a \in A; b \in B\}$ is ampler (in some uniform meaning) than $|A|$. As we remarked earlier, the polynomial $f(x, y) = x + y$ is not admissible.

Let us say that a polynomial $f(x, y)$ is an *expander* if $|f(A, B)|/|A|$ tends to infinity as p tends to infinity (a more precise definition will be given above).

According to the literature, very few is known about existence and construction of expanders; the only known explicit construction is due to J. Bourgain (see [4]) who proved that the polynomial $f(x, y) = x^2 + xy$ is an expander. More precisely he proved that if $p^\varepsilon < |A| \asymp |B| < p^{1-\varepsilon}$ then $|f(A, B)|/|A| > p^\gamma$, where $\gamma = \gamma(\varepsilon)$ is a positive but inexplicit real number.

Our aim is to extend of the class of known expanders and to give some effective estimations for $|f(A, B)|/|A|$. In particular in section 3 we will exhibit an infinite family of two variables polynomials being expanders. The main tool is some incidence inequality that will be also used to construct explicit extractors with three variables. A function $f : \mathbb{Z}^3 \rightarrow \{-1, 1\}$ is said to be a 3-source *extractor* if under a certain condition on the size of A, B, C , the sum $\sum_{(a,b,c) \in A \times B \times C} f(a, b, c)$ is small compared to the number of its terms (see section 5 for a sharp definition and the details).

Finally in the last section we show that extractors are connected with some additive questions.

2. Incidence inequalities for points and hyperplanes

For any prime number p , we denote by \mathbb{F}_p the fields with p elements. The main tool used by Bourgain in [4] for exhibiting expanding maps and extractors is the following Szemerédi-Trotter type inequality:

Proposition 1 (Bourgain-Katz-Tao Theorem). *Let \mathcal{P} and \mathcal{L} be respectively a set of points and a set of lines in \mathbb{F}_p such that*

$$|\mathcal{P}|, |\mathcal{L}| < p^\beta$$

for some β , $0 < \beta < 2$. Then

$$|\{(P, L) \in \mathcal{P} \times \mathcal{L} : P \in L\}| \ll p^{(3/2-\gamma)\beta} \quad (\text{as } p \text{ tends to infinity}),$$

for some $\gamma > 0$ depending only on β .

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In this statement, γ can be calculated in terms of β from the proof, but it would imply a cumbersome formula.

In [7], the author established a generalization of this result by obtaining an incidence inequality for points and hyperplanes in \mathbb{F}_p^d . It can be read as follows:

Proposition 2 (L.A. Vinh [7]). *Let $d \geq 2$. Let \mathcal{P} be a set of points in \mathbb{F}_p^d and \mathcal{H} be a set of hyperplanes in \mathbb{F}_p^d . Then*

$$|\{(P, H) \in \mathcal{P} \times \mathcal{H} : P \in H\}| \leq \frac{|\mathcal{P}||\mathcal{H}|}{p} + (1 + o(1))p^{(d-1)/2}(|\mathcal{P}||\mathcal{H}|)^{1/2}.$$

From this, L.A. Vinh deduced in [7] that in Proposition 1, γ can be taken equal to $\frac{\min\{\beta-1; 2-\beta\}}{4}$ whenever $1 < \beta < 2$.

3. A family of expanding maps of two variables

For any prime number p , let $F_p : \mathbb{F}_p^k \rightarrow \mathbb{F}_p$ be an arbitrary function in k variables in \mathbb{F}_p . One says that the family of maps $F := (F_p)_p$, where p runs over the prime numbers, is an *expander* (in k variables) if for any α , $0 < \alpha < 1$, for any positive real numbers $L_1 \leq L_2$, there exist $\epsilon = \epsilon(\alpha) > 0$ and a positive constant $c = c(F, L_1, L_2) > 0$ not depending on α such that for any prime p and for any k -tuples $(A_i)_{1 \leq i \leq k}$ of subsets of \mathbb{F}_p satisfying $L_1 p^\alpha \leq |A_i| \leq L_2 p^\alpha$ ($1 \leq i \leq k$), one has $|C_p| \geq cp^{\alpha+\epsilon}$ where

$$C_p = F_p(A_1, A_2, \dots, A_k) := \{F_p(a_1, a_2, \dots, a_k) : (a_1, a_2, \dots, a_k) \in A_1 \times A_2 \times \dots \times A_k\}.$$

If the maps F_p , p prime, are induced by some function $F : \mathbb{Z}^k \rightarrow \mathbb{Z}$, i.e. for any prime number p , we have

$$F_p(\pi_p(x_1), \dots, \pi_p(x_k)) = \pi_p(F(x_1, \dots, x_k)),$$

where π_p is the canonical morphism from \mathbb{Z} onto \mathbb{F}_p , then we simply denote F_p by F . If such $(F_p)_p$ is an expander, then we will say that F induces or is an expander.

For example, any integral polynomial function F induces functions F_p accordingly denoted by F . We will mainly concentrate our attention on the construction of expanders of this type.

In [4], the author proved that $F(x, y) = x^2 + xy$ induces an expander and observed that more general maps with two variables can be considered. It is almost clear (see remark 1 in section 6) that no map of the kind $f(x) + g(y) + c$ or $f(x)g(y) + c$ (where c is a constant) can be an expander. From this, one deduces that maps of the type $F(x, y) = f(x) + (uf(x) + v)g(y)$ where $u, v \in \mathbb{F}_p$ and f, g are integral polynomials, are not expanders. It is clear if $u = 0$, since in this case $F(x, y) = f(x) + vg(y)$. If $u \neq 0$, then $F(x, y) = (f(x) + vu^{-1})(1 + ug(y)) - vu^{-1}$. In order to exhibit expanders of the type $f(x) + h(x)g(y)$, we thus have to assume that f and g are affinely independent, namely there is no $(u, v) \in \mathbb{Z}^2$ such that $f(x) = uh(x) + v$ or $h(x) = uf(x) + v$.

We will show the following:

Theorem 3. *Let $k \geq 1$ be an integer and f, g be polynomials with integer coefficients, and define for any prime number p , the map F from \mathbb{Z}^2 onto \mathbb{Z} by*

$$F(x, y) = f(x) + x^k g(y)$$

Assume moreover that $f(x)$ is affinely independent to x^k . Then F induces an expander.

For p sufficiently large, the image $g(B)$ of any subset B of \mathbb{F}_p has cardinality at least $|B|/\deg(g)$. It follows that we can restrict our attention to maps of the type $F(x, y) = f(x) + x^k y$. We let $d := \deg(f)$.

Let A and B be subsets of \mathbb{F}_p with cardinality $|A| \asymp |B| \asymp p^\alpha$. For any $z \in \mathbb{F}_p$, we denote by $r(z)$ the number of couples $(x, y) \in A \times B$ such that $z = F(x, y)$, and by C the set of those z for which $r(z) > 0$. By Cauchy-Schwarz inequality, we get

$$|A|^2|B|^2 = \left(\sum_{z \in \mathbb{F}_p} r(z) \right)^2 \leq |C| \times \left(\sum_{z \in \mathbb{F}_p} r(z)^2 \right).$$

One now deal with the sum $\sum_{z \in \mathbb{F}_p} r(z)^2$ which can be rewritten as the number of quadruples $(x_1, x_2, y_1, y_2) \in A^2 \times B^2$ such that

$$(1) \quad f(x_1) + x_1^k y_1 = f(x_2) + x_2^k y_2.$$

For fixed $(x_1, x_2) \in A^2$ with $x_1 \neq 0$ or $x_2 \neq 0$, (1) can be viewed as the equation of a line ℓ_{x_1, x_2} whose points (y_1, y_2) are in \mathbb{F}_p^2 . For (x_1, x_2) and (a, b) in A^2 , the lines ℓ_{x_1, x_2} and $\ell_{a, b}$ coincide if and only if

$$\begin{cases} (x_1 b)^k = (a x_2)^k \\ b^k (f(x_2) - f(x_1)) = x_2^k (f(b) - f(a)), \end{cases}$$

or equivalently

$$(2) \quad \begin{cases} (x_1 b)^k = (a x_2)^k \\ (b^k - a^k)(f(x_2) - f(x_1)) = (x_2^k - x_1^k)(f(b) - f(a)). \end{cases}$$

At this point observe that by our assumption, there are only finitely many prime numbers p such that $f(x) = ux^k + v$ for some $(u, v) \in \mathbb{F}_p^2$, in which case the second equation in (2) holds trivially for any x_1 and x_2 . We assume in the sequel that p is not such a prime number.

Let $(a, b) \in A^2$ such that $a \neq 0$ or $b \neq 0$. Assume for instance that $b \neq 0$. By (2) we get $x_1 = \frac{\zeta a x_2}{b}$ for some k -th root modulo p of unity ζ . Moreover, we obtain

$$(3) \quad b^k \left(f(x_2) - f\left(\zeta \frac{a x_2}{b}\right) \right) - x_2^k (f(b) - f(a)) = 0,$$

which is a polynomial equation in x_2 . If we write $f(x) = \sum_{0 \leq j \leq d} f_j x^j$ then

$$b^k (f(x) - f(\zeta \frac{ax}{b})) = \sum_{1 \leq j \leq d} b^k \left(1 - \frac{\zeta^j a^j}{b^j}\right) f_j x^j$$

is a polynomial which could be identically equal to $x^k (f(b) - f(a))$ only if the following two conditions are satisfied:

$$\begin{aligned} f(b) - f(a) &= (b^k - a^k) f_k, \\ f_j \neq 0 &\Rightarrow b^j = \zeta^j a^j. \end{aligned}$$

Since $f(x)$ is assumed to be affinely independent to x^k , we necessarily have $f_j \neq 0$ for some $0 < j \neq k$. If $b^j = \zeta^j a^j$ for ζ being a k -th root of unity in \mathbb{F}_p , then $b = \eta a$ where η is some $(kd!)$ -root of unity in \mathbb{F}_p . Let

$$X := \{(a, b) \in A^2 : b^{kd!} \neq a^{kd!}\}.$$

Since there are $kd!$ many $(kd!)$ -roots of unity in \mathbb{F}_p , We have $|A^2 \setminus X| \leq kd!|A|$, hence $|X| \geq \frac{|A|^2}{2}$ for p large enough.

If $(a, b) \in X$, then (3) has at most $\max(k, d)$ many solutions x_2 , thus (2) has at most $k \max(k, d)$ many solutions (x_1, x_2) . We conclude that the number of distinct lines $\ell_{a, b}$ when (a, b) runs in A^2 is $c(k, f)|A|^2$ where $c(k, f)$ can be chosen equal to $(2k \max(k, d))^{-1}$, for p large enough. The set of all these pairwise distinct lines $\ell_{a, b}$ is denoted by \mathcal{L} , its cardinality

satisfies $|A|^2 \ll |\mathcal{L}| \leq |A|^2$, as observed before. Let $\mathcal{P} = B^2$. Then putting $N := |A|^2 \asymp |B|^2$, we have by Proposition 1

$$\{(p, \ell) \in \mathcal{P} \times \mathcal{L} : p \in \ell\} \ll N^{3/2-\delta}$$

for some $\delta > 0$. Hence the number of solutions of the system (2) is $O(N^{3/2-\delta}) = O(|A|^2|B|^{1-2\delta})$. Finally $|C| \gg |B|^{1+2\delta}$, which is the desired conclusion.

4. Further results on expanders

When $\alpha > 1/2$, instead of Bourgain-Katz-Tao's incidence inequality, we can use Proposition 2. By the remark following Proposition 2, we can replace in the very end of our proof of Theorem 3, δ by $\min\{2\alpha - 1; 2 - 2\alpha\}$. It gives

Proposition 4. *Let F as in Theorem 3 and $\alpha > 1/2$. For any pair (A, B) of subsets of \mathbb{F}_p such that $|A| \asymp |B| \asymp p^\alpha$, we have*

$$|F(A, B)| \gg |A|^{1 + \frac{\min\{2\alpha-1; 2-2\alpha\}}{2}}.$$

The notion of expander which we discussed in the previous section is concerning the ability for a two variables function F , inducing a sequence $(F_p)_p$, to provide a non trivial uniform lower bound for

$$\kappa_\alpha(F) = \inf_{0 < L_1 < L_2} \liminf_{p \rightarrow \infty} \min \left\{ \frac{\ln |F_p(A, B)|}{\ln |A|} : A, B \subset \mathbb{F}_p \text{ and } L_1 p^\alpha \leq |A|, |B| \leq L_2 p^\alpha \right\}$$

For F introduced in Theorem 3, we thus have

$$1 + \frac{\min\{2\alpha - 1; 2 - 2\alpha\}}{2} \leq \kappa_\alpha(F) \leq \min\left\{2, \frac{1}{\alpha}\right\},$$

where the upper bound follows from the plain bounds $|F(A, B)| \leq |A||B|$ and $|F(A, B)| \leq p$. To our knowledge, no explicit example of function F such that $\kappa_\alpha(F) = \min\{2, \frac{1}{\alpha}\}$ has been already provided in the literature, even for a given real number α with $0 < \alpha < 1$. This question is certainly much more difficult than the initial question of providing expander. This suggests the following definition:

Definition. Let $I \subset (0, 1)$ be a non empty interval. A family $F = (F_p)_p$ of two variables functions is called

- a *strong expander according to I* if for any $\alpha \in I$, we have

$$\kappa_\alpha(F) = \min\left\{2, \frac{1}{\alpha}\right\}.$$

- a *complete expander according to I* if for any $\alpha \in I$, for any positive real numbers $L_1 \leq L_2$, there exists a constant $c = c(F, L_1, L_2)$ such that for any prime number p and any pair (A, B) of subsets of \mathbb{F}_p satisfying $L_1 p^\alpha \leq |A|, |B| \leq L_2 p^\alpha$, we have

$$|F_p(A, B)| \geq c p^{\min\{1; 2\alpha\}}.$$

Complete expanders according to I are obviously strong expanders according to I . As indicated in [4], random mapping are strong expanders with a large probability, but no explicit example is known. Furthermore functions F introduced in Theorem 3 could eventually be strong expanders, but we can not prove or disprove this fact. Nevertheless, we can show that some of them are not complete expanders, in particular Bourgain's function $F(x, y) = x^2 + xy = x(x + y)$. Indeed, let A and B be the interval $[1, p^\alpha/2]$ in \mathbb{Z}_p . Then $A \cup (A + B) \subset [1, p^\alpha]$. If we assume $\alpha \leq 1/2$, the following result which is a direct consequence of a result by Erdős (see [5, 6]) implies that $F(A, B) = A \cdot (A + B)$ has cardinality at most $o(p^{2\alpha})$.

Lemma 5 (Erdős Lemma). *There exists a positive real number δ such that the number of different integers ab where $1 \leq a, b \leq n$ is $O(n^2/(\ln n)^\delta)$.*

A sharper result due to G. Tenenbaum [9] implies that δ can be taken equal to $1 - \frac{1+\ln \ln 2}{\ln 2}$ in this statement.

In the same vein, we can extend Bourgain's result to more general functions:

Proposition 6. *Let $k \geq 2$ be an integer, $u \in \mathbb{Z}$ and $F(x, y) = x^{2k} + ux^k + x^k y = x^k(x^k + y + u)$. Then for any α , $0 < \alpha \leq 1/2$, F is not a complete expander according to $\{\alpha\}$.*

Proof. Let L be a positive integer such that $L < \sqrt{p}/2$. The set of k -th powers in \mathbb{F}_p^* is a subgroup of \mathbb{F}_p^* with index $l = \gcd(k, p-1) \leq k$. Thus there exists $a \in \mathbb{F}_p^*$ such that $[1, L]$ contains at least L/l residue classes of the form ax^k , $x \in \mathbb{F}_p^*$. We let $A = \{x \in \mathbb{F}_p^* : ax^k \in [1, L]\}$, which has cardinality at least L since each k -th power has l k -th roots modulo p . We let $B = \{y \in \mathbb{F}_p : a(y+u) \in [1, L]\}$. We clearly have $|B| = L$. Moreover the elements of $F(A, B)$ are of the form $x^k(x^k + y + u)$ with $x \in A$ and $y \in B$, thus are of the form $a'^2 x' y'$ where $x', y' \in [1, 2L]$ and $aa' = 1$ in \mathbb{F}_p . By Erdős Lemma, we infer $|F(A, B)| = O(L^2/(\ln L)^\delta) = o(L^2)$. \square

By using a deep bound by Weil on exponential sums with polynomials, we may slightly extend this result:

Proposition 7. *Let $f(x)$ and $g(y)$ be non constant integral polynomials and $F(x, y) = f(x)(f(x) + g(y))$. Then F is not a complete expander according to $\{1/2\}$.*

We shall need the following result:

Lemma 8. *Let $u \in \mathbb{F}_p$, L be a positive integer less than $p/2$ and $f(x)$ be any integral polynomial of degree $k \geq 1$ (as element of $\mathbb{F}_p[x]$). Then the number $N(I)$ of residues $x \in \mathbb{F}_p$ such that $f(x)$ lies in the interval $I = (u - L, u + L)$ of \mathbb{F}_p is at least $L - (k-1)\sqrt{p}$.*

Proof. We will use the formalism of Fourier analysis. Recall the following notation and properties:

Let $\phi, \psi : \mathbb{F}_p \rightarrow \mathbb{C}$ and $x \in \mathbb{F}_p$.

- $\phi * \psi(x) := \sum_{y \in \mathbb{F}_p} \overline{\phi(y)} \psi(x+y)$;
- $\hat{\phi}(x) := \sum_{y \in \mathbb{F}_p} \phi(y) e\left(\frac{yx}{p}\right)$, where $e(t) := \exp(2i\pi t)$;
- $\widehat{\phi * \psi}(x) = \hat{\phi}(x) \hat{\psi}(x)$;
- $\sum_{y \in \mathbb{F}_p} |\hat{\phi}(y)|^2 = p \sum_{y \in \mathbb{F}_p} |\phi(y)|^2$ (Parseval's identity).

Let J be the indicator function of the interval $[0, L)$ of \mathbb{F}_p and let

$$T := \sum_{h \in \mathbb{F}_p} \widehat{J * J}(h) S_f(-h, p) e\left(\frac{hu}{p}\right),$$

where the exponential sum

$$S_f(h, p) := \sum_{x \in \mathbb{F}_p} e\left(\frac{hf(x)}{p}\right)$$

is known to satisfy the bound $|S_f(h, p)| \leq (k-1)\sqrt{p}$ whenever $h \neq 0$ in \mathbb{F}_p and p is an odd prime number (see for instance [1]).

On the one hand, we have

$$\begin{aligned} T &= p \widehat{J * J}(0) + \sum_{h \in \mathbb{F}_p \setminus \{0\}} \widehat{J * J}(h) S_f(-h, p) e\left(\frac{hu}{p}\right) \\ &\geq pL^2 - k\sqrt{p} \sum_{h \in \mathbb{F}_p \setminus \{0\}} |\widehat{J * J}(h)| \\ &\geq pL^2 - kLp^{3/2}, \end{aligned}$$

by the bound for Gaussian sums and Parseval Identity. Hence

$$(4) \quad T \geq pL(L - k\sqrt{p})$$

On the other hand,

$$\begin{aligned} T &= \sum_{h \in \mathbb{F}_p} \sum_{y \in \mathbb{F}_p} \sum_{z \in \mathbb{F}_p} J(z)J(y+z) e\left(\frac{h(y+u)}{p}\right) \sum_{x \in \mathbb{F}_p} e\left(-\frac{hf(x)}{p}\right) \\ &= \sum_{x \in \mathbb{F}_p} \sum_{y \in \mathbb{F}_p} \sum_{z \in \mathbb{F}_p} J(z)J(y+z) \sum_{h \in \mathbb{F}_p} e\left(\frac{h(y+u-f(x))}{p}\right) \\ &= p \sum_{x \in \mathbb{F}_p} d_L(f(x) - u), \end{aligned}$$

where $d_L(z)$ denotes the number of representations in \mathbb{F}_p of z under the form $j - j'$, $0 \leq j, j' < L$. Since obviously $d_L(z) \leq L$ for each $z \in \mathbb{F}_p$, we get

$$T \leq pLN(I).$$

Combining this bound and (4), we deduce the lemma. \square

Proof of Propostion 7. We choose p large enough so that both $f(x)$ and $g(y)$ are not constant polynomials modulo p . Let $L = k\sqrt{p}$, and define A (resp. B) to be the set of the residue classes x (resp. y) such that $f(x)$ (resp. $g(y)$) lies in the interval $(0, 2L)$. By the previous lemma, one has $|A|, |B| \geq \sqrt{p}$. Moreover for any $(x, y) \in A \times B$, we have $f(x)$ and $f(x) + g(y)$ in the interval $(0, 4L)$. By Erdős Lemma, the number of residues modulo p which can be written as $F(x, y)$ with $(x, y) \in A \times B$, is at most $O(L^2/(\ln L)^\delta) = o(p)$, as p tends to infinity. \square

5. A family of 3-source extractors with exponential distribution

Let us fix the definition of the *entropy* of a k -source $f = (f_p)_p$ where $f_p : \mathbb{F}_p^k \rightarrow \{-1, 1\}$ as follows : it is defined to be the infimum, denoted α_0 , on $\alpha > 0$ such that for any subset A_j , $j = 1, \dots, k$, of \mathbb{F}_p with cardinality at least p^α , we have

$$\sum_{\substack{a_j \in A_j \\ j=1, \dots, k}} f_p(a_1, \dots, a_k) = o\left(\prod_{j=1}^k |A_j|\right), \quad \text{as } p \rightarrow +\infty.$$

When $\alpha_0 < 1$, f is called k -source *extractor* (with entropy α_0).

The problem of finding k -source extractors can be reduced as follows. We are asking the question to find functions $F_p : \mathbb{F}_p^k \rightarrow \mathbb{F}_p$ such that for any k -tuples (A_1, A_2, \dots, A_k) of subsets of \mathbb{F}_p with cardinality $\asymp p^\alpha$ such that for any $r \in \mathbb{F}_p^\times$

$$(5) \quad \left| \sum_{\substack{a_j \in A_j \\ j=1, \dots, k}} e_r(F_p(x_1, x_2, \dots, x_k)) \right| = O(p^{-\gamma} \prod_{j=1}^k |A_j|), \quad \text{as } p \text{ tends to infinity,}$$

for some $\gamma = \gamma(\alpha)$ and where we denote $e_r(u) = \exp\left(\frac{ru}{p}\right)$. If (5) holds, Bourgain (cf. [4]) has shown that

$$(6) \quad \sum_{\substack{a_j \in A_j \\ j=1, \dots, k}} f_p(a_1, \dots, a_k) = O(p^{-\gamma'} \prod_{j=1}^k |A_j|), \quad \text{as } p \rightarrow +\infty$$

for some $\gamma' > 0$ where $f_p := \text{sgn} \sin \frac{2\pi F_p}{p}$. It thus gives a k -source extractor $f = (f_p)_p$. An extractor f such that (6) holds is said to have an *exponential distribution*.

In [4, proposition 3.6], Bourgain proved that $F(x, y) = xy + x^2y^2$, by letting $F = F_p$ for any p , provides a 2-source extractor with exponential distribution and with entropy $1/2 - \delta$ for some $\delta > 0$. We will show that this result can be extended in order to give 3-source extractors with such an entropy. It has to be mentioned that explicit 3-source extractors with arbitrary positive entropy exists, as shown in [2], but these extractors do not yield an exponential distribution. Here our goal is to exhibit 3-source extractors with exponential distribution.

Theorem 9. *Let $F(x, y, z) = a(z)xy + b(z)x^2g(y) + h(y, z) \in \mathbb{Z}[x, y, z]$ where $a(z)$, $b(z)$ are any non zero polynomial function, $g(y)$ is any polynomial function of degree at least two and $h(y, z)$ an arbitrary polynomial function. Let $L_1 \leq L_2$ be positive real numbers, $\alpha \in (0, 1)$ and A, B, C be subsets of \mathbb{F}_p with cardinality satisfying $L_1p^\alpha \leq |A|, |B|, |C| \leq L_2p^\alpha$. For $r \in \mathbb{F}_p$, we denote*

$$S_r = \sum_{(x,y,z) \in A \times B \times C} e_r(F(x, y, z)).$$

Then there exists $\gamma = \gamma(\alpha) > 0$ such that

$$\max_{r \in \mathbb{F}_p \setminus \{0\}} |S_r| \ll p^{((22-\gamma/2)\alpha+1)/8},$$

where the implied constant depends only on F , L_1 and L_2 .

Proof. The proof starts as in [4, proposition 3.6]. For any $r \in \mathbb{F}_p \setminus \{0\}$, let

$$S_r = \sum_{(x,y,z) \in A \times B \times C} e_r(F(x, y, z)).$$

The first transformations consist in using repeatedly Cauchy-Schwarz inequality in order to increase the number of variables and to rely S_r to the number of solutions of diophantine systems. We simply denote S_r by S . We denote by C_0 the subset of C formed with the elements $z \in C$ such that $a(z)b(z) = 0$. We let $C' := C \setminus C_0$. Then $S = S_0 + S'$ where in S_0 (resp. S') the summation over z is restricted $z \in C_0$ (resp. $z \in C'$). Since the number of roots of the equation $a(z)b(z) = 0$ is finite, we have $|S_0| \ll |A||B| \ll p^{2\alpha}$. Moreover we get

$$\begin{aligned} |S'| &\leq \sum_{y,z} \left| \sum_x e_r(a(z)xy + b(z)x^2g(y)) \right| \\ &\leq \left(\sum_{y,z} 1 \right)^{1/2} \left(\sum_{\substack{y,z \\ x_1, x_2}} e_r(a(z)(x_1 - x_2)y + b(z)(x_1^2 - x_2^2)g(y)) \right)^{1/2}, \end{aligned}$$

where the summation over z is restricted to $z \in C'$. Hence

$$\begin{aligned} |S'|^2 &\ll p^{2\alpha} \sum_{y,z} \left| \sum_{x_1, x_2} e_r(a(z)(x_1 - x_2)y + b(z)(x_1^2 - x_2^2)g(y)) \right| \\ &\ll p^{2\alpha} \left(\sum_{y,z} 1 \right)^{1/2} \left(\sum_{\substack{x_1, x_2 \\ x_3, x_4 \\ y, z}} e_r(a(z)(x_1 - x_2 + x_3 - x_4)y + b(z)(x_1^2 - x_2^2 + x_3^2 - x_4^2)g(y)) \right)^{1/2} \end{aligned}$$

then

$$|S'|^4 \ll p^{6\alpha} \sum_{\substack{x_1, x_2 \\ x_3, x_4 \\ y, z}} e_r(a(z)(x_1 - x_2 + x_3 - x_4)y + b(z)(x_1^2 - x_2^2 + x_3^2 - x_4^2)g(y))$$

By a new application of Cauchy-Schwarz inequality, we get

$$\begin{aligned}
|S'|^8 &\ll p^{12\alpha} \left(\sum_{\substack{x_1, x_2 \\ x_3, x_4 \\ z}} \left| \sum_y e_r(a(z)(x_1 - x_2 + x_3 - x_4)y + b(z)(x_1^2 - x_2^2 + x_3^2 - x_4^2)g(y)) \right| \right)^2 \\
&\ll p^{17\alpha} \sum_z \sum_{\substack{x_1, x_2 \\ x_3, x_4 \\ y_1, y_2}} e_r(a(z)(x_1 - x_2 + x_3 - x_4)(y_1 - y_2) \\
&\quad + b(z)(x_1^2 - x_2^2 + x_3^2 - x_4^2)(g(y_1) - g(y_2))) \\
&= p^{17\alpha} \sum_z \sum_{\xi, \eta \in \mathbb{F}_p^2} \mu(\underline{\xi}) \nu(\underline{\eta}) e_r(a(z)\xi_1\eta_1 + b(z)\xi_2\eta_2)
\end{aligned}$$

where $\mu(\underline{\xi})$ is the number of quadruples $(x_1, x_2, x_3, x_4) \in A^4$ such that

$$(7) \quad \begin{cases} \xi_1 = x_1 - x_2 + x_3 - x_4, \\ \xi_2 = x_1^2 - x_2^2 + x_3^2 - x_4^2, \end{cases}$$

and $\nu(\underline{\eta})$ is the number of couples $(y_1, y_2) \in B^2$ such that

$$\begin{cases} \eta_1 = y_1 - y_2, \\ \eta_2 = g(y_1) - g(y_2). \end{cases}$$

Then clearly $\sum_{\eta \in \mathbb{F}_p^2} \nu(\underline{\eta})^2$ can be expressed as the number of quadruples $(y_1, y_2, y'_1, y'_2) \in B^4$ such that

$$(8) \quad \begin{cases} y_1 - y_2 = y'_1 - y'_2, \\ g(y_1) - g(y_2) = g(y'_1) - g(y'_2). \end{cases}$$

If $y'_1 = y'_2$ in this system then $y_1 = y_2$. Thus (8) has exactly $|B|^2$ solutions of the type (y_1, y_2, y'_1, y'_1) . If y'_1 and y'_2 are fixed so that $t = y'_1 - y'_2 \neq 0$, then we can write $y_1 = y_2 + t$ and clearly $g(y_2 + t) - g(y_2) = g(y'_1) - g(y'_2)$ has at most $\deg g - 1$ solutions y_2 (since $\deg g \geq 2$). We thus have

$$(9) \quad \sum_{\eta \in \mathbb{F}_p^3} \nu(\underline{\eta})^2 \ll p^{2\alpha}.$$

For any $\underline{\xi} = (\xi_1, \xi_2) \in \mathbb{F}_p^2$, we denote by $\mu_1(\underline{\xi})$ (resp. $\mu_2(\underline{\xi})$) the number of solutions $(x_1, x_2, x_3, x_4) \in A^4$ of (7) such that $x_1 = x_2$ (resp. $x_1 \neq x_2$). Then

$$\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu_1(\underline{\xi})^2 = |A|^2 \times N,$$

where N is the number of quadruples $(x_3, x_4, z_3, z_4) \in A^4$ such that

$$\begin{cases} x_3 - x_4 = z_3 - z_4, \\ x_3^3 - x_4^3 = z_3^3 - z_4^3. \end{cases}$$

By distinguishing solutions with $x_3 = x_4$ and solutions with $x_3 \neq x_4$, we plainly obtain $N \leq 2|A|^2$. Hence

$$(10) \quad \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu_1(\underline{\xi})^2 \ll p^{4\alpha}.$$

For any fixed $t \in A$, we denote by $\mu(\underline{\xi}, t)$ the number of solutions of the form $(x_1, x_2, t, x_4) \in A^4$ with $x_1 \neq x_2$ of the system (7). Eliminating x_4 by expressing it in terms of ξ_1 using the

first equation, we see that $\mu(\underline{\xi}, t)$ is the number of couples $(x_1, x_2) \in A^2$ with $x_1 \neq x_2$ such that $\underline{\xi}$ lies on the curve

$$(11) \quad \xi'_2 := \xi_2 + \xi_1^2 = 2(x_1 - x_2 + t)\xi_1 - (x_1 - x_2 + t)^2 + x_1^2 - x_2^2 + t^2.$$

Using the new variable ξ'_2 instead of ξ_2 , we get that each couple $(x_1, x_2) \in A^2$ with $x_1 \neq x_2$ defines a line ℓ_{x_1, x_2} in the plane \mathbb{F}_p^2 with equation

$$(12) \quad \xi'_2 = 2(x_1 - x_2 + t)\xi_1 - (x_1 - x_2 + t)^2 + x_1^2 - x_2^2 + t^2.$$

It is clear that two couples $(x_1, x_2) \in A^2$ and $(x'_1, x'_2) \in A^2$ with $x_1 \neq x_2$ define the same line if and only if $x_1 - x_2 = x'_1 - x'_2$ and $x_1^2 - x_2^2 = x_1'^2 - x_2'^2$, that is $(x_1, x_2) = (x'_1, x'_2)$. It follows that all the lines ℓ_{x_1, x_2} with $x_1 \neq x_2$ are pairwise distinct and the number of these lines is equal to $|A|^2 - |A| \ll p^{2\alpha}$. We let $\mathcal{L} = \{\ell_{x_1, x_2} : (x_1, x_2) \in A^2, x_1 \neq x_2\}$. By applying Proposition 1, we get

$$|\{[(\xi_1, \xi'_2); \ell] \in C_k \times \mathcal{L} : (\xi_1, \xi'_2) \in \ell\}| \ll |C_k|^{3/2-\gamma} + p^{(3-2\gamma)\alpha}$$

where C_k is the set of couples $(\xi_1, \xi'_2) \in \mathbb{F}_p^2$ such that the number of different couples $(x_1, x_2) \in A^2$ with $x_1 \neq x_2$ satisfying equation (12) with $\xi_1 - x_1 + x_2 - t \in A$ is at least k . Since there is a one-to-one correspondance between the couples $(\xi_1, \xi'_2) \in C_k$ and the couples $(\xi_1, \xi_2) \in \mathbb{F}_p^2$ such that $\mu(\underline{\xi}, t) \geq k$, we plainly have $|C_k| \leq p^{3\alpha}/k$. Furthermore, for fixed (ξ_1, ξ'_2) in \mathbb{F}_p^2 , each choice of $x_1 \in A$ gives at most two different $x_2 \in A$ such that (12) holds. Hence C_k is empty if $k > 2|A|$. We let $c_k = |C_k|$. We obtain

$$c_k k \ll c_k^{3/2-\gamma} + p^{(3-2\gamma)\alpha},$$

giving either

$$c_k k \ll p^{(3-2\gamma)\alpha}$$

or

$$k \ll c_k^{1/2-\gamma}.$$

Since $c_k \ll p^{3\alpha}/k$, the last bound is available only if

$$k \leq k(\alpha, \gamma) := cp^{(3-6\gamma)\alpha/(3-2\gamma)}, \quad \text{for some constant } c > 0.$$

We have

$$\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi}, t)^2 = \sum_{1 \leq k \leq 2|A|} k^2(c_k - c_{k+1}) = \sum_{1 \leq k \leq 2|A|} (2k-1)c_k,$$

by partial summation. It follows that

$$\begin{aligned} \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi}, t)^2 &= \sum_{1 \leq k \leq k(\alpha, \gamma)} (2k-1)c_k + \sum_{k(\alpha, \gamma) < k \leq 2|A|} (2k-1)c_k \\ &\leq 2 \sum_{1 \leq k \leq k(\alpha, \gamma)} p^{3\alpha} + \sum_{k(\alpha, \gamma) < k \leq 2|A|} p^{(3-2\gamma)\alpha} \\ &\ll p^{12(1-\gamma)\alpha/(3-2\gamma)} + p^{(4-2\gamma)\alpha} \\ &\ll p^{(4-\gamma)\alpha}. \end{aligned}$$

By Cauchy-Schwarz inequality, we get

$$\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu_2(\underline{\xi})^2 = \sum_{\underline{\xi} \in \mathbb{F}_p^2} \left(\sum_{t \in A} \mu(\underline{\xi}, t) \right)^2 \leq |A| \sum_{t \in A} \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi}, t)^2 \leq |A|^2 \sup_{t \in A} \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi}, t)^2 \ll p^{(6-\gamma)\alpha},$$

giving with (10)

$$(13) \quad \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi})^2 \leq 2 \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu_1(\underline{\xi})^2 + 2 \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu_2(\underline{\xi})^2 \ll p^{(6-\gamma)\alpha}.$$

This yields for $\sum \mu(\underline{\xi})^2$ a sharper bound than that could be expected in general, namely $O(p^{6\alpha})$.

Returning to the estimation of S' , we obtain

$$\begin{aligned} |S'|^8 &\ll p^{17\alpha} \sum_{z \in C'} \sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi}) \left| \sum_{\underline{\eta} \in \mathbb{F}_p^2} \nu(\underline{\eta}) e_r(a(z)\xi_1\eta_1 + b(z)\xi_2\eta_2) \right| \\ &\ll p^{17\alpha} \sum_{z \in C'} \left(\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi})^2 \right)^{1/2} \left(\sum_{\underline{\xi} \in \mathbb{F}_p^2} \left| \sum_{\underline{\eta} \in \mathbb{F}_p^2} \nu(\underline{\eta}) e_r(a(z)\xi_1\eta_1 + b(z)\xi_2\eta_2) \right|^2 \right)^{1/2} \end{aligned}$$

which is

$$\ll p^{17\alpha} \sum_{z \in C'} \left(\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi})^2 \right)^{1/2} \left(\sum_{\underline{\eta}, \underline{\eta}' \in \mathbb{F}_p^2} \nu(\underline{\eta}) \nu(\underline{\eta}') \sum_{\underline{\xi} \in \mathbb{F}_p^2} e_r(a(z)\xi_1(\eta_1 - \eta'_1) + b(z)\xi_2(\eta_2 - \eta'_2)) \right)^{1/2}$$

by Cauchy-Schwarz inequality. For $z \in C'$, the summation over $\underline{\xi}$ is p^2 if $\underline{\eta} = \underline{\eta}'$ and 0 otherwise. It follows that

$$|S'|^8 \ll p^{17\alpha+1} |C'| \left(\sum_{\underline{\xi} \in \mathbb{F}_p^2} \mu(\underline{\xi})^2 \right)^{1/2} \left(\sum_{\underline{\eta} \in \mathbb{F}_p^2} \nu(\underline{\eta})^2 \right)^{1/2}.$$

By (9) and (13), this yields

$$|S'|^8 \ll p^{(22-\gamma/2)\alpha+1}$$

hence

$$(14) \quad |S| \leq |S_0| + |S'| \ll p^{((22-\gamma/2)\alpha+1)/8}.$$

□

As a corollary, we have

Corollary 10. *Let F as in the theorem. Then the extractor defined by $\text{sgn} \sin \frac{2\pi F}{p}$ has exponential distribution and entropy at most $1/2 - \delta$, for some $\delta > 0$.*

Proof. From theorem 9, we obtain that

$$\max_{r \in \mathbb{F}_p \setminus \{0\}} |S_r| \ll p^{3\alpha - \epsilon(\alpha)},$$

where

$$(15) \quad \epsilon(\alpha) = \frac{\alpha}{8} \left(2 + \frac{\gamma(\alpha)}{2} - \frac{1}{\alpha} \right).$$

Since $\gamma(1/2) > 0$, we have $\epsilon(1/2) > 0$, thus by continuity, there exists $\delta > 0$ such that for $\alpha > 1/2 - \delta$, we have $\epsilon(\alpha) > 0$.

The rest of the proof follows that in [4], namely we have

$$\sum_{(x,y,z) \in A \times B \times C} \text{sgn} \sin \left(\frac{2\pi F(x,y,z)}{p} \right) = \sum_{r=1}^{p-1} c_r S_r + O(p^{3\alpha-1}),$$

where the coefficients c_r satisfy

$$\text{sgn} \sin \left(\frac{2\pi t}{p} \right) = \sum_{r=1}^{p-1} c_r \exp \left(\frac{2i\pi t}{p} \right) + O\left(\frac{1}{p}\right),$$

and

$$\sum_{r=1}^{p-1} |c_r| = O(\ln p).$$

This gives

$$\sum_{(x,y,z) \in A \times B \times C} \operatorname{sgn} \sin \left(\frac{2\pi F(x,y,z)}{p} \right) = O((\ln p)p^{3-\epsilon})$$

and the corollary follows. \square

6. Concluding remarks

1. As indicated in section 3, no function of the type $F(x,y) = f(x) + g(y)$ or any translated of it is an expander. Indeed let I be an interval with length $\asymp Cp^\alpha$, ($0 < \alpha < 1, C > 0$). By the averaging argument there are a and b in \mathbb{F}_p such that

$$|\{a + I\} \cap \{f(x) : x \in \mathbb{F}_p\}| > C'p^\alpha,$$

and

$$|\{b + I\} \cap \{g(y) : y \in \mathbb{F}_p\}| > C'p^\alpha,$$

where C' depends only on C and the degree of f and g . Now let A be the inverse image of $\{a + I\} \cap \{f(x) : x \in \mathbb{F}_p\}$ and let B be the inverse image of $\{b + I\} \cap \{g(y) : y \in \mathbb{F}_p\}$. Then the set $F(A,B)$ of all elements of the form $F(x,y)$, $(x,y) \in A \times B$ is contained in $a + b + 2I$, hence the cardinality of $F(A,B)$ is at most a constant times the cardinality of A and B .

A similar argument yields that no map of the kind $f(x)g(y) + c$ is an expander.

2. As quoted after Corollary 10, the functions $f_p(x,y) = \operatorname{sgn} \sin F_p(x,y)$ give a 2-source extractor with entropy less than $1/2$, if we let $F_p(x,y) = xy + x^2y^2$ or $F_p(x,y) = xy + g_p^{x+y}$, where g_p is any generator in \mathbb{F}_p^\times . From the proof one can easily read that the functions

$$(16) \quad xy + x^2h(y); \quad xh(y) + x^2y; \quad xy + x^2g_p^y; \quad xg_p^y + x^2y$$

(h is any non-constant polynomial) induce also 2-source extractors with entropy less than $1/2$ (see also remark 4 below).

3. It is worth mentioning that for points and lines in \mathbb{F}_p^2 , the bound given by the effective version of the Szemerédi-Trotter theorem of [7] is weaker than the trivial one in case where the number N of lines and points is less than p . For this reason, it is seemingly not efficient for providing an effective entropy less than $1/2$ for k -source extractor, contrarily to Bourgain-Katz-Tao result which holds for $p^\epsilon < N < p^{2-\epsilon}$.

4. Extractors are related to additive questions in \mathbb{F}_p . In [8] Sárközy investigated the following problem: let $A, B, C, D \subseteq \mathbb{F}_p$ be non-empty sets. Then the equation

$$a + b = cd$$

is solvable in $a \in A, b \in B, c \in C, d \in D$ provided $|A||B||C||D| > p^3$. This simple equation has many interesting consequences. One can ask the more general question of investigating the solvability of

$$(17) \quad a + b = F(c, d)$$

where $F(x,y)$ is a two variables polynomial with integer coefficients. Clearly the question is really interesting when we assume that $|C|, |D| < \sqrt{p}$.

Let us say that $F(x,y)$ is an *essential* polynomial if (under the condition $|C|, |D| < \sqrt{p}$) $|A||B| > p^2$ implies the solvability of (17). So by the Sárközy's result $F(x,y) = xy$ is an essential polynomial. From the proofs of propositions 3.6 and 3.7 of [4], it can be deduced that there exist $\delta > 0$ and $\epsilon > 0$ such that for any $r \in \mathbb{F}_p \setminus \{0\}$ and for any $C, D \subset \mathbb{F}_p$ with $|C|, |D| > p^{1/2-\delta}$,

$$(18) \quad \left| \sum_{c \in C, d \in D} e_r(F_p(c, d)) \right| = O(|C||D|p^{-\epsilon}),$$

where $F = (F_p)_p$ is any one of the following families of functions:

- $F_p(x, y) = x^{1+u}y + x^{2-u}h(y)$ for any p , where we fix $u \in \{0, 1\}$ and any non constant polynomial $h(y) \in \mathbb{Z}[y]$.

- $F_p(x, y) = x^{1+u}y + x^{2-u}g_p^y$ for any p where g_p generates \mathbb{F}_p^\times and $u \in \{0, 1\}$ is fixed.

This yields the following result:

Proposition 11. *Let $(F_p)_p$ be one of the two families of functions defined above. There exist real numbers $0 < \delta, \delta' < 1$ such that for any p and for any sets $A, B, C, D \subseteq \mathbb{F}_p$ fulfilling the conditions*

$$|C| > p^{1/2-\delta}, \quad |D| > p^{1/2-\delta} \quad |A||B| > p^{2-\delta'},$$

there exist $a \in A, b \in B, c \in C, d \in D$ solving the equation

$$(19) \quad a + b = F_p(c, d).$$

Sketch of the proof. Let N be the number of solutions of (19). Then by following Sárközy's argument and using the bound (18), we obtain

$$\left| N - \frac{|A||B||C||D|}{p} \right| \ll |A|^{1/2}|B|^{1/2}|C||D|p^{-\epsilon},$$

which gives the result for p large enough with $\delta' = \epsilon$. For $p \leq p_0$, it suffices to reduce δ' in order to have also $p_0^{2-\delta'} \geq p_0^2 - 1$, and the result becomes trivial since $|A||B| > p^{2-\delta'}$ implies either $A = \mathbb{F}_p$ or $B = \mathbb{F}_p$. \square

5. Note that the range of our function $F(x, y, z) = a(z)xy + b(z)x^2g(y) + h(y, z)$ studied in section 5 is well-spaced i.e. the set $F(A, B, C)$ of elements of \mathbb{F}_p of the form $F(x, y, z)$ where $(x, y, z) \in A \times B \times C$, intersects every not too long interval, provided the cardinalities of the sets are $\asymp p^\alpha$ with $\alpha > 1/2 - \delta$.

The bound we obtain for the exponential sum S in the proof of theorem 9 yields the following result:

Corollary 12. *Let $\epsilon(\alpha)$ given by (15) and δ given in Corollary 10. Let $L_1 \leq L_2$ be arbitrary positive real numbers, $F(x, y, z) \in \mathbb{Z}[x, y, z]$ as in theorem 9 and A, B, C be subsets of \mathbb{F}_p with $L_1p^\alpha \leq |A|, |B|, |C| \leq L_2p^\alpha$ where $\alpha > 1/2 - \delta$. Then $F(A, B, C)$ intersects every interval $[u + 1, u + L]$ in \mathbb{F}_p provided $L \gg p^{1-\epsilon(\alpha)}$ where the implied constant depends only on F, L_1 and L_2 .*

For seek of completeness we include the proof.

Proof. Let $S(w)$ be the number of triples $(a, b, c) \in F(A, B, C)$ such that $w = F(a, b, c)$. Let $I = [1, L/2]$ and denote by $I(w)$ its indicator. Then $F(A, B, C) \cap [u + 1, u + L]$ is not empty if and only if the real sum

$$T = \sum_w S(w - u)I * I(-w)$$

is not zero. Denote the Fourier transform of the indicators of S resp. I by S_r resp. I_r . By the Fourier inversion formula we have

$$T = \frac{1}{p} \sum_r S_r \overline{I_r}^2 e_r(-u) \geq \frac{S_0 I_0^2}{p} - \frac{1}{p} \sum_{r \neq 0} |S_r| |I_r|^2 = \frac{1}{p} |A||B||C| I_0^2 - \frac{1}{p} \sum_{r \neq 0} |S_r| |I_r|^2.$$

By the triangle inequality, the non trivial upper bound for $|S_r|$ when $r \neq 0$ and by the Parseval formula, (14) and (15) we get

$$\left| T - \frac{1}{p} |A||B||C| I_0^2 \right| \leq \frac{1}{p} \sum_{r \neq 0} |S_r| |I_r|^2 \leq \frac{1}{p} \max_{r \neq 0} |S_r| \sum_r |I_r|^2 \ll p^{3\alpha - \epsilon(\alpha)} I_0.$$

Hence the set $F(A, B, C) \cap [u + 1, u + L]$ is not empty if

$$\frac{1}{p}|A||B||C|I_0 \gg p^{3\alpha - \epsilon(\alpha)}$$

or equivalently if

$$L \gg p^{1 - \epsilon(\alpha)},$$

as asserted. □

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